



# LODHA CAPITAL MARKETS LTD

CIN NO. U67120WB1995PLC067806

## ANNEXURE- 'B'

### INVESTORS' COMPLAINTS DATA IN COMPLIANCE WITH SEBI CIRCULAR SEBI/HO/CFD/DCR2/P/CIR/2021/0661 DATED NOVEMBER 23<sup>RD</sup>, 2021

Data for the month ending November, 2022:

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^ (in days)
1	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Not Applicable
2	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	Not Applicable
3	Stock Exchanges (if relevant)	Nil	Nil	Nil	Nil	Nil	Not Applicable
4	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Not Applicable
5	<b>Grand Total</b>	0	0	0	0	0	0

Trend of monthly disposal of complaints (For 5 months on rolling basis):

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	July, 2022	Nil	Nil	Not Applicable	Not Applicable
2	August, 2022	Nil	Nil	Not Applicable	Not Applicable
3	September, 2022	Nil	Nil	Not Applicable	Not Applicable
4	October, 2022	Nil	Nil	Not Applicable	Not Applicable
5	November, 2022	Nil	Nil	Not Applicable	Not Applicable
	<b>Grand Total</b>	0	0	0	0

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

\* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

**SEBI AUTHORISED MERCHANT BANKERS**





Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)-

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2021	Nil	Nil	Not applicable	Not applicable
2	2022*	Nil	Nil	Not applicable	Not applicable
3	2023	Nil	Nil	Not applicable	Not applicable
4	2024	Not applicable	Not applicable	Not applicable	Not applicable
5	2025	Not applicable	Not applicable	Not applicable	Not applicable
	<b>Grand Total</b>	0	0	0	0

\* Till November 30, 2022.

For Lodha Capital Markets Limited

*V. Tandon*

Vijay Tandon  
(Compliance Officer)



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