**ANNEXURE- ‘B’**

**INVESTORS’ COMPLAINTS DATA IN COMPLIANCE WITH SEBI CIRCULAR SEBI/HO/CFD/DCR2/P/CIR/2021/0661 DATED NOVEMBER 23RD, 2021**

**Data for the month ending October, 2023:**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **SN** | **Received from** | **Pending as at the end of**  **last**  **month** | **Received during the particular month** | **Resolved during the particular month\*** | **Total**  **Pending during the particular month #** | **Pending complaints > 1 month** | **Average Resolution time^\ (in days)** |
| 1 | Directly from Investors |  |  |  |  |  |  |
| 2 | SEBI  (SCORES) |  |  |  |  |  |  |
| 3 | Stock  Exchanges  (if relevant) |  |  |  |  |  |  |
| 4 | Other Sources (if any) |  |  |  |  |  |  |
| 5 | **Grand**  **Total** |  |  |  |  |  |  |

**Trend of monthly disposal of complaints (For 5 months on rolling basis):**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **SN** | **Month** | **Carried from month** | **forward previous** | **Received during the particular month** | **Resolved during the particular month \*** | **Pending at the end of the particular month #** |
| 1 | June, 2023 |  |  |  |  |  |
| 2 | July, 2023 |  |  |  |  |  |
| 3 | August, 2023 |  |  |  |  |  |
| 4 | September, 2023 |  |  |  |  |  |
| 5 | October, 2023 |  |  |  |  |  |
|  | **Grand Total** |  |  |  |  |  |

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

\* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

**Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)-**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **SN** | **Year** | **Carried forward**  **from previous year** | **Received during the particular year** | **Resolved during the particular year** | **Pending at the end of the**  **particular year** |
| 1 | 2021 |  |  |  |  |
| 2 | 2022 |  |  |  |  |
| 3 | 2023\* |  |  |  |  |
| 4 | 2024 | Not applicable | Not applicable | Not applicable | Not applicable |
| 5 | 2025 | Not applicable | Not applicable | Not applicable | Not applicable |
|  | **Grand Total** |  |  |  |  |

***\**** *Till October 31, 2023.*

For **Lodha Capital Markets Limited**

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Vijay Tandon

**(Executive Director)**

**Date:**